

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** Kimerling et al.

**GROUP:** Unknown

**SERIAL NO:** Unknown

**EXAMINER:** Unknown

**FILED:** Herewith

**FOR:** WAVEGUIDE-TO-SEMICONDUCTOR DEVICE COUPLER

Mail Stop Application  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

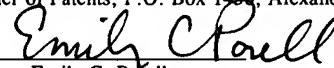
The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that may be required.

Respectfully submitted,

  
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**CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on December 11, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV303918170US addressed to the: Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Emily C. Borell  
12/11/2003  
Date

FORM PTO-1449 SAMUELS, GAUTHIER & STEVENS LLP  
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MIT.10086  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
	AK						
	AL						

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	AM	"FDTD Analysis of a Polarization Conversion Waveguide with a Single Sloped Sidewall," Yamauchi et al. <i>Optical Society of America</i> . June 2003.
	AN	
	AO	

EXAMINER	DATE CONSIDERED

EXAMINER:	Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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